

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
6602

#4  
8-4-00  
JM

In Re Application Of: RONNING et al.

Serial No.  
09/492,846

Filing Date  
01/27/2000

Examiner  
Unassigned

Group Art Unit  
2776

Invention: APPARATUS AND METHOD FOR CONTROLLING DISTRIBUTION OF FILES AND FILE UPDATES



Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**Docket No.**  
**6602**

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2776

ND METHOD FOR

**OFFICE** JUN 23 2000 **JP77**  
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**(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))**

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- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
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*James Diegle*  
Signature

Dated: 6/23/2000

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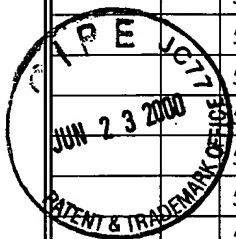
**CC:**

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use several sheets if necessary)	ATTY DOCKET NO. 6602	SERIAL NO. 09/492,846
	APPLICANT RONNING et al.	
	FILING DATE January 27, 2000	GROUP ART UNIT 2776

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Inventor Name	Class	Sub-class	Filing Date (if appropriate)
	5 9 8 3 2 2 7	11/09/99	Nazem et al.	707	10	
	5 9 1 9 2 4 7	07/06/99	Van Hoff et al.	709	217	
	9 1 3 0 4 0	06/15/99	Rakavy et al.	395	200.62	
	9 1 2 9 0 2	06/15/99	Monroe	371	30	
	9 0 7 6 1 7	05/25/99	Ronning	380	4	
	9 0 3 8 9 6	05/11/99	Waldman et al.	707	200	
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	5 8 8 7 1 9 2	03/23/99	Nishio	395	827	
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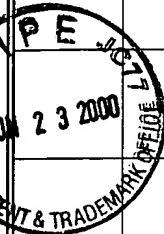
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Examiner Initial	Document Number								Date	Country	Class	Sub-class	Translation	
	5	7	1	2	7	2	4	9	11/82	Japan				
	6	0	0	7	7	2	1	8	09/85	Japan				
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	"Try and Buy on CD-Rom," <i>Marketing Computers</i> , (Oct. 1993), p. 12.
	"Multimedia World," <i>Advertising Age</i> , (Aug. 1993), p. 23.
	File History of U.S. Patent No. 5,768,528, issued Jun. 16, 1998.
	File History of U.S. Patent No. 5,761,651, issued Jun. 2, 1998.
	File History of U.S. Patent No. 4,658,093, issued Apr. 14, 1987.
	File History of U.S. Patent No. 4,740,890, issued Apr. 26, 1988.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.